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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	MPT-001	Total Pages	36
First Inventor or Application Identifier	Bryan G. Yamamoto		
Title	DUAL-FRAME USER INTERFACE ON GENERIC CLIENT SOFTWARE		
Express Mail Label No.	EL597198639US		

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original, and a duplicate for fee processing)*
2.  Applicant Claims small entity status.  
See 37 C.F.R. § 1.27.
3.  Specification *[Total Pages 20]*  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed-Sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. § 113) *[Total Sheets 7]*
5.  Oath or Declaration *[Total Pages 2]*
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Application Data Sheet. See 37 C.F.R. § 1.76

**Assistant Commissioner for Patents**  
**ADDRESS TO:**  
**Box Patent Application**  
**Washington, DC 20231**

7.  CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Form
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR §3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement (IDS) PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Other: Transmittal Of CD-ROM; and Request And Certification Under 35 U.S.C. 122(b)(2)(B)(i)

**17. If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. § 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ /

Prior application information: Examiner \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	0 2 2 8 8 8		or <input type="checkbox"/> Correspondence address below		
NAME					
ADDRESS					
CITY	STATE			ZIP CODE	
Country	Phone	+1 (408)451-5904		FAX	+1 (408)451-5908

Name (Print Type)	Edward S. Mao	Registration No. (Attorney/Agent)	40,713
Signature	Edward S. Mao	Date	03/30/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

 <b>FEE TRANSMITTAL</b> <b>for FY 2001</b> <i>Patent fees are subject to annual revision.</i>		<i>Complete if Known</i>		
		Application Number		
		Filing Date	03/30/2001	
		First Named Inventor	Bryan G. Yamamoto	
		Examiner Name		
		Group/Art Unit		
<b>TOTAL AMOUNT OF PAYMENT</b>		(\$ ) 440.00		Attorney Docket Number
				MPT-001

<b>METHOD OF PAYMENT</b> (check one)		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 50-0574) (Docket No. MPT-001)</p> <p>Deposit Account Name Bever, Hoffman &amp; Harms</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. § 1.27</p>		<p><b>3. ADDITIONAL FEES</b></p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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<b>SUBMITTED BY</b>		<i>Complete if applicable</i>		
Name (Print/Type)	Edward S. Mao	Registration No. (Attorney/Agent)	40.713	Telephone (408) 451-5904
Signature	<i>Edward Mao</i>		Date	03/30/2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. § 122(b)(2)(B)(i)**  
[Non-Publication Request]

First Inventor	Bryan G. Yamamoto
Title	DUAL-FRAME USER INTERFACE ON GENERIC CLIENT SOFTWARE
Attorney Docket	MPT-001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

March 30, 2001

Date

*Edward Mao*

Edward S. Mao, Reg. No. 40,713

This request must be signed in compliance with 37 C.F.R. § 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. § 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. § 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 C.F.R. § 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. § 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. § 122 and 37 C.F.R. § 1.14. This form is estimated to take 6 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Atty. Docket No.: MPT-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Bryan G. Yamamoto  
Application No.: Filed Herewith  
Filing Date: 03/30/2001  
Title: "DUAL-FRAME USER INTERFACE ON GENERIC CLIENT SOFTWARE"

Date: March 30, 2001

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington D.C. 20231

TRANSMITTAL OF CD ROM

Transmitted with the new application identified above is a CD-ROM (2 identical copies) containing:

- computer program listing  
 IBM-PC formatted  
 MS-Windows operating system

A list of files contained on the CD-ROM is as follows:

Name: toc.js (JScript File)  
File Size: 15.3KB (15,718 bytes)  
Size on Disk: 16KB (16,384 bytes)  
Creation Date: 03/27/2001 (copied to CD-ROM on 03/30/2001)

*Edward Mao*

SIGNATURE OF PRACTITIONER  
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Tel. No.: (408) 451-5904  
Fax No.: (408) 451-5908  
Customer No.: 22888

BEVER, HOFFMAN & HARMS, LLP  
2099 Gateway Place, Suite 320  
San Jose, CA 95110-1017

I hereby certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL, label No. EL597198639US, in an envelope addressed to: Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C., 20231-0001, on 03/30/2001.

Date: 3-30-2001 Signature: Carrie Reddick